

Quick Reference Guide – Research Compliance Reference Report

The Research Compliance Reference Report provides up to date information about Investigators' status on the five compliance requirements for active researchers at UVA:

- 1) the annual [Significant Financial Interest \(SFI\) disclosure](#)
- 2) the quadrennial [Conflicts of Interest \(COI\) training module](#)
- 3) the annual [External Activities Disclosure \(EAD\)](#)
- 4) the one-time [Undue Foreign Influence \(UFI\) training module](#)
- 5) the one-time [Patent policy agreement](#)

Please utilize this report to review the status of your Investigators and assist them with proactively completing these requirements to avoid processing delays with Sponsored Programs transactions. To access the report:

- Navigate to the Finance stream (1) of the Qlik Sense Hub:
<https://qsenseprod.admin.virginia.edu/hub/stream/283593cc-b99a-4c8a-8eec-86fa7c4b2569>
- Choose the Research Conflict of Interest (COI) workbook (2)

The screenshot shows the Qlik Sense Hub interface. On the left sidebar, the 'Streams' list includes 'Everyone', 'Facilities', 'Finance', 'Research', and 'Travel Expense'. The 'Finance' stream is highlighted with a red box and the number 1. The main content area displays a grid of workbooks. The 'Research Conflict of Interest (COI)' workbook is highlighted with a red box and the number 2.

- Use the dropdown in the upper right corner (3) to choose the Investigator Status worksheet (4)

The screenshot shows the Qlik Sense workspace for the 'Research Conflict of Interest (COI) [Limited]' workbook. The main dashboard displays a summary of investigator data:

WD Active Empl	Investigator Full Name	Investigator Job Title	Comp ID
Y	Tianhao Wang	Assistant Professor (Academic)	NKP2MR
Y	Parchayl Dalal	Research Program Executive Director (University Staff M&P)	PD9D
Y	Ji Ma	Assistant Professor	JMSBU
Y	Keivan Esfarjani	Associate Professor	KE4C
Y	Kory Burns	Research Scientist	CYU4JS
Y	Avik Ghosh	Professor	AG7RQ
Y	Charity Nyelele	Assistant Professor (Academic)	HBT3MB
Y	Jerrold A Floro	Professor	JAF9R
Y	Kimberly Fields	Assistant Professor (Academic)	KF4MA
Y	Nathan John LeRoy	Graduate Research Student B	XJK5HP
Y	Petra Reinke	Professor	PR6E

The 'Investigator Status' worksheet is selected in the 'Public sheets (2)' list, highlighted with a red box and the number 4. The dropdown menu in the upper right corner is open, showing 'Investigator Status' selected, highlighted with a red box and the number 3.